

NATIONAL AMPS STATISTICS FOR 2007, 2008 AND 2009

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Introduction

The following tables provide statistical information about the Administrative Monetary Penalty System (AMPS) penalties issued in 2007, 2008 and 2009. Volumes and penalty amounts have been calculated for each six-month period in order to provide the most up-to-date numbers and may vary slightly from previously published amounts as appeals are decided.

Contravention C244 is not included since this is a systems-generated warning for Customs Self Assessment (CSA) clients. All figures, both net volumes and penalty amounts, are for all contraventions issued minus those that were cancelled. In other words, cancelled penalties are excluded in the calculation of statistics.

Data has been analyzed by client type, penalty levels, top contraventions and appeals data. All penalty amounts and values are in Canadian dollars.

All graphs are stacked area graphs. They are used to show multiple series stacked on top of each other. Series can be client types, penalty levels or contravention codes. In this way, they display totals for all series, the proportion that each series contributes to the total as well as the total net volume or penalty amount. A small pie chart is inserted into the top right hand corner of each graph and depicts the proportion of the series values for the entire time period. Specific values related to these pie charts are located in the legend.

To learn more about each contravention, including penalty structures, basis and legislation, please consult the [Master Penalty Document](#).

AMPS Contraventions by Client Type

The following tables and charts provide a bi-annual summary of the net volume of contraventions and penalty amounts issued per client type. The statistics identify three main client types: importers, carriers and warehouse operators. In all the tables, any data captured for importers also takes into account exporters. A specific percentage figure of exporters vs. importers cannot be determined at this time. Chart 1 illustrates the percentage of all contraventions issued (net) by client type between the years 2007 and 2009. For example, importers accounted for 80.2% of all AMPS contraventions issued, carriers for 15.4% and warehouse operators for 4.4%. Corresponds to figures in Table 1.

Table 1. Net Volume and Penalty Amount of Contraventions by Client Type from January 2007 to December 2009

Report Period		Importers	Carriers	Warehouse Operators	TOTAL
Jan-June 2007	vol	6,443	1,452	238	8,133
	pen	\$5,430,240.41	\$1,593,067.24	\$476,841.27	\$7,500,148.92
July-Dec 2007	vol	4,908	1,177	419	6,504
	pen	\$2,330,573.58	\$1,337,073.52	\$1,140,559.62	\$4,808,206.72
Jan-Dec 2007	vol	11,351	2,629	657	14,637
	pen	\$7,760,813.99	\$2,930,140.76	\$1,617,400.89	\$12,308,355.64
Jan-June 2008	vol	4,865	1,581	829	7,275
	pen	\$2,674,792.23	\$1,430,939.53	\$598,813.38	\$4,704,545.14
July-Dec 2008	vol	4,215	1,216	201	5,632
	pen	\$2,273,680.22	\$1,226,057.59	\$446,341.01	\$3,946,078.82
Jan-Dec 2008	vol	9,080	2,797	1,030	12,907
	pen	\$4,948,472.45	\$2,656,997.12	\$1,045,154.39	\$8,650,623.96
Jan-June 2009	vol	5,308	1,018	170	6,496
	pen	\$2,151,347.18	\$982,639.77	\$374,748.34	\$3,508,735.29
July-Dec 2009	vol	13,343	1,074	265	14,682
	pen	\$3,497,040.89	\$1,226,811.80	\$430,174.39	\$5,154,027.08
Jan-Dec 2009	vol	18,651	2,092	435	21,178
	pen	\$5,648,388.07	\$2,209,451.57	\$804,922.73	\$8,662,762.37

Report Period		Importers	%	Carriers	%	Warehouse Operators	%	TOTAL
2007-2009	vol	39,082	80.2	7,518	15.4	2,122	4.4	48,722
	pen	\$18,357,674.51	62.0	\$7,796,589.45	26.3	\$3,467,478.01	11.7	\$29,621,741.97

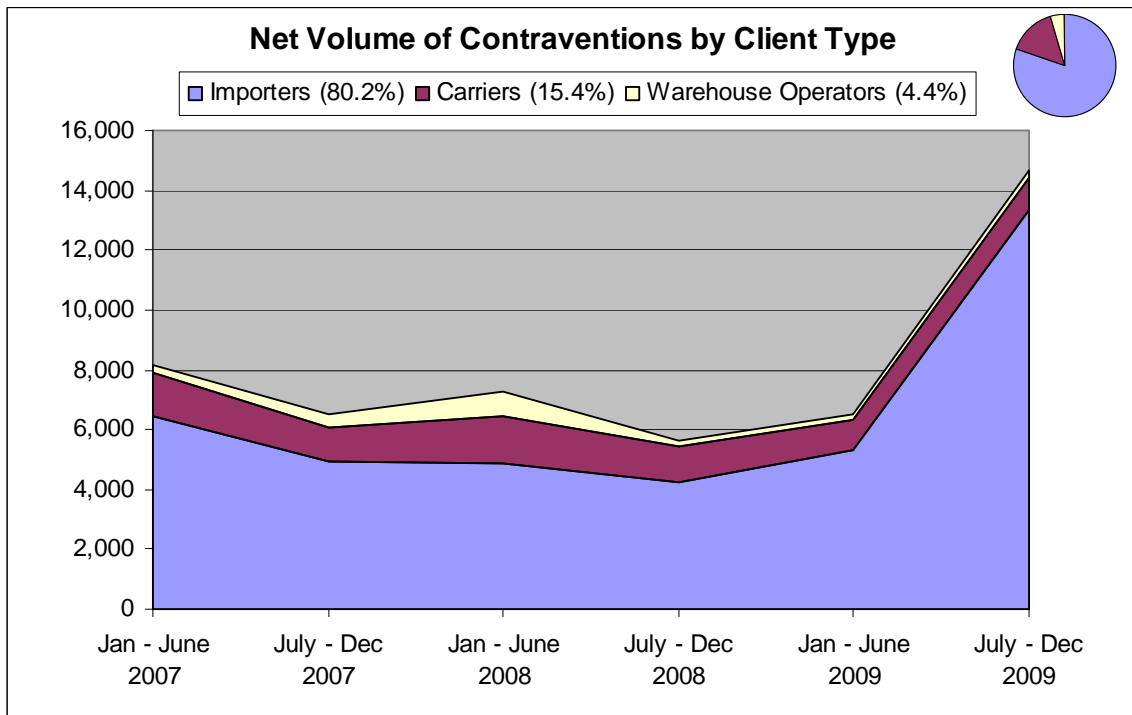


Chart 1. Net Volume of Contraventions by Client Type

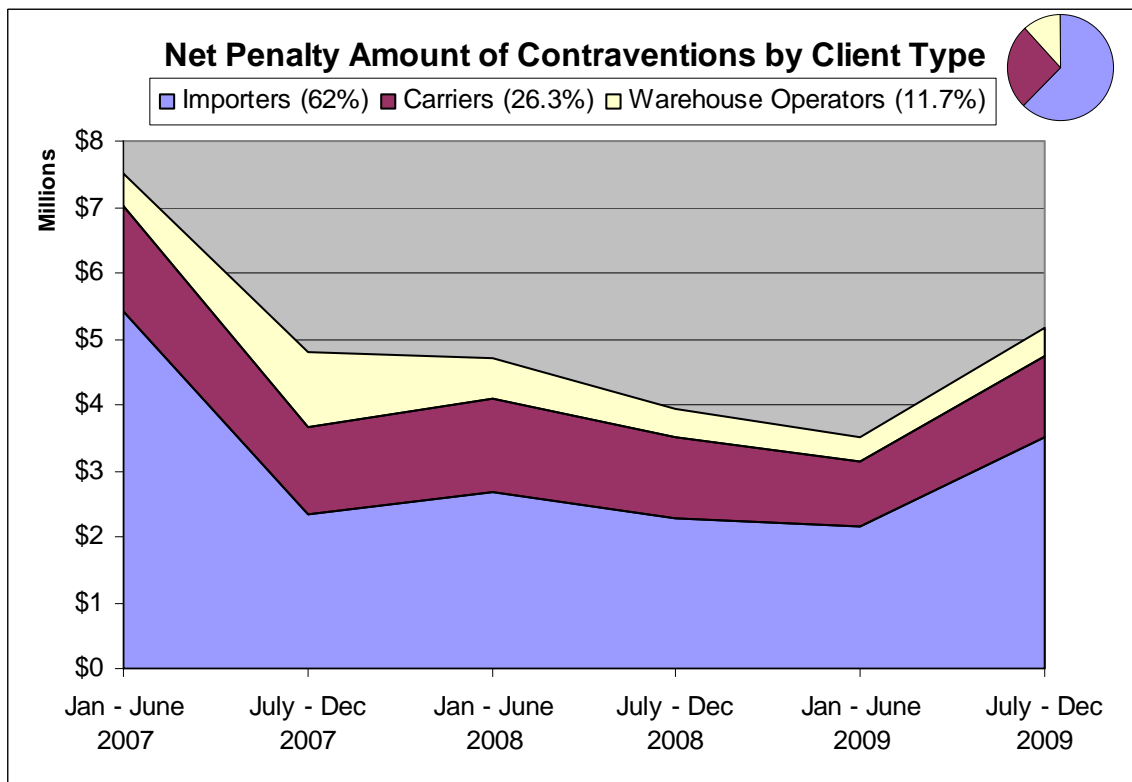


Chart 2. Net Penalty Amount of Contraventions by Client Type

AMPS Contraventions by Penalty Level

Table 2 provides a bi-annual summary of the net volume of contraventions and penalty amounts issued per penalty level. Percentages illustrate the portion of total volume of contraventions issued and penalty amounts (net) for each penalty level. For example, 85.7% of all contraventions issued (excluding C244 and cancelled penalties) are issued at Level 1 and only 6.5% are at Level 2. At the third level, a total of 7.7% of all contraventions are issued. However, this accounts for 34% of the total value of penalties issued since Level 3 penalties are at a higher/escalated penalty amount given that AMPS structure is graduated in most cases.

Table 2. Net Volume and Penalty Amount of Contraventions by Penalty Level from January 2007 to December 2009

Report Period		Level 1	Level 2	Level 3	Level 4	TOTAL
Jan-June 2007	vol	6,307	591	1,222	13	8,133
	pen	\$2,897,150.90	\$532,256.90	\$4,037,018.49	\$33,722.63	\$7,500,148.92
July-Dec 2007	vol	5,238	583	677	6	6,504
	pen	\$2,793,642.89	\$545,799.13	\$1,463,801.62	\$4,963.08	\$4,808,206.72
Jan-Dec 2007	vol	11,545	1,174	1,899	19	14,637
	pen	\$5,690,793.79	\$1,078,056.03	\$5,500,820.11	\$38,685.71	\$12,308,355.64
Jan-June 2008	vol	6,085	629	559	2	7,275
	pen	\$2,738,214.26	\$681,156.72	\$1,259,536.41	\$25,637.75	\$4,704,545.14
July-Dec 2008	vol	4,715	523	391	3	5,632
	pen	\$2,574,150.99	\$592,216.70	\$753,392.33	\$26,318.80	\$3,946,078.82
Jan-Dec 2008	vol	10,800	1,152	950	5	12,907
	pen	\$5,312,365.25	\$1,273,373.42	\$2,012,928.74	\$51,956.55	\$8,650,623.96
Jan-June 2009	vol	5,767	416	312	1	6,496
	pen	\$2,509,747.54	\$457,862.73	\$540,669.99	\$455.03	\$3,508,735.29
July-Dec 2009	vol	13,648	448	581	5	14,682
	pen	\$2,705,452.44	\$377,555.83	\$2,042,972.45	\$28,046.36	\$5,154,027.08
Jan-Dec 2009	vol	19,415	864	893	6	21,178
	pen	\$5,215,199.98	\$835,418.56	\$2,583,642.44	\$28,501.39	\$8,662,762.37

Level	2007-2009 Volume	% of Total	2007-2009 Penalty amount	% of Total
1	41,760	85.7	\$16,218,359.02	54.75
2	3,190	6.5	\$3,186,848.01	10.76
3	3,742	7.7	\$10,097,391.29	34.09
4	30	0.1	\$119,143.65	0.40
TOTAL	48,722	100.0	\$29,621,741.97	100.0

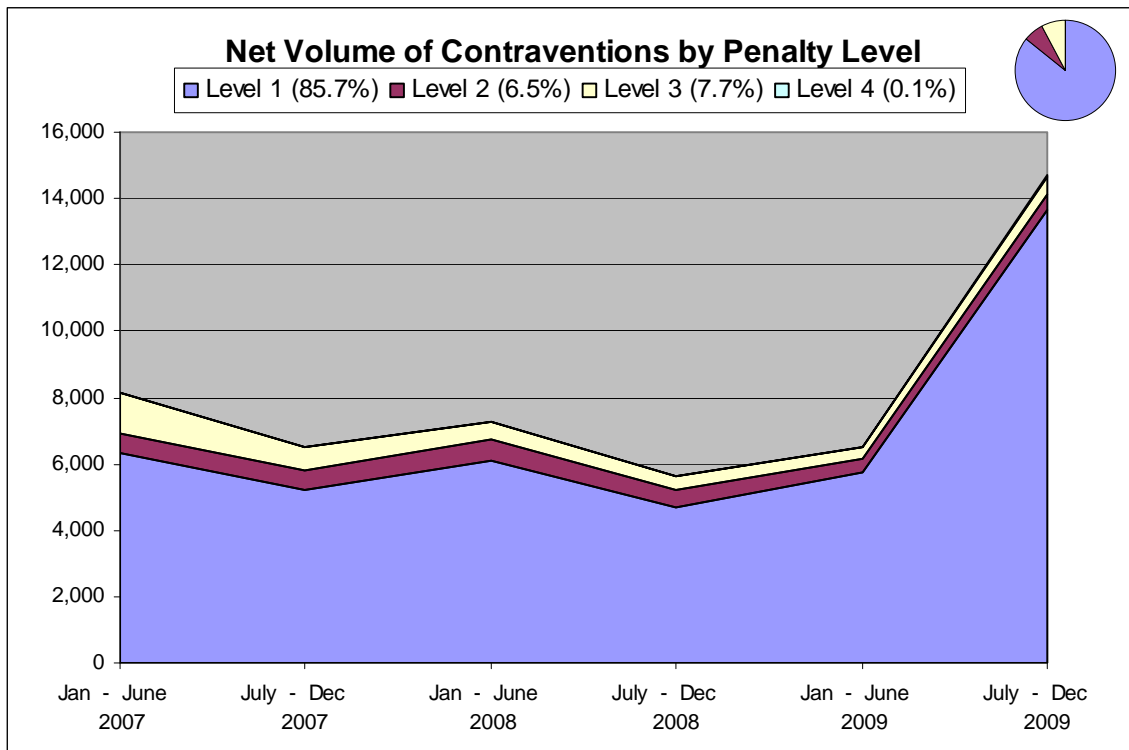


Chart 3. Net Volume of Contraventions by Penalty Level

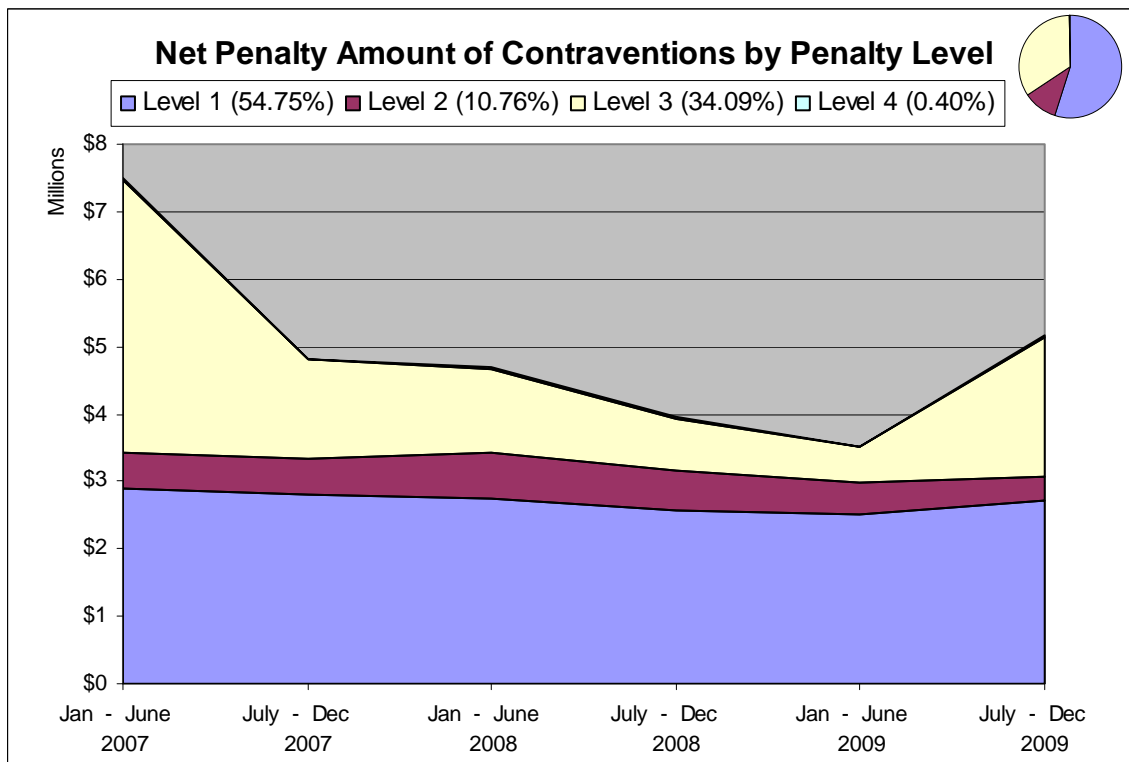


Chart 4. Net Penalty Amount of Contraventions by Penalty Level

AMPS Contraventions by Client Type and Penalty Level

The following table and charts provide a summary of the net volume of contraventions and penalty amounts issued per penalty level and client type for the January 2007 to December 2009 report period. Percentages illustrate the portion of total volume of contraventions issued and penalty amounts (net) at each penalty level and client type.

Table 3. Net Volume and Penalty Amount of Contraventions by Client Type and Penalty Level from January 2007 to December 2009. V = Volume, P = Penalty Amount

Level		Importers	%	Carriers	%	Warehouse	%	Totals
1	V	34,233	81.98	6,470	15.49	1,057	2.53	41,760
	P	\$9,666,349.52	59.60	\$5,748,696.72	35.45	\$803,312.78	4.95	\$16,218,359.02
2	V	2,558	80.19	305	9.56	327	10.25	3,190
	P	\$2,132,597.91	66.92	\$537,612.10	16.87	\$516,638.00	16.21	\$3,186,848.01
3	V	2,265	60.53	741	19.80	736	19.67	3,742
	P	\$6,539,583.43	64.77	\$1,460,280.63	14.46	\$2,097,527.23	20.77	\$10,097,391.29
4	V	26	86.67	2	6.67	2	6.67	30
	P	\$19,143.65	16.07	\$50,000.00	41.97	\$50,000.00	41.97	\$119,143.65
2007-2009	V	39,082	80.21	7,518	15.43	2,122	4.36	48,722
	P	\$18,357,674.51	61.97	\$7,796,589.45	26.32	\$3,467,478.01	11.71	\$29,621,741.97

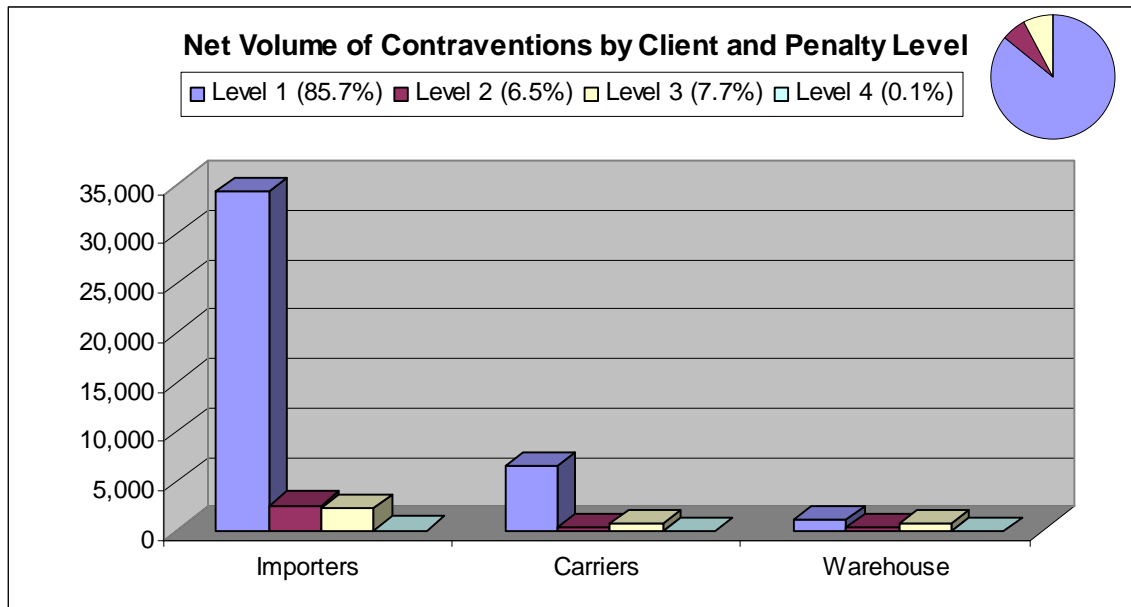


Chart 5. Net Volume of Contraventions by Client Type and Penalty Level

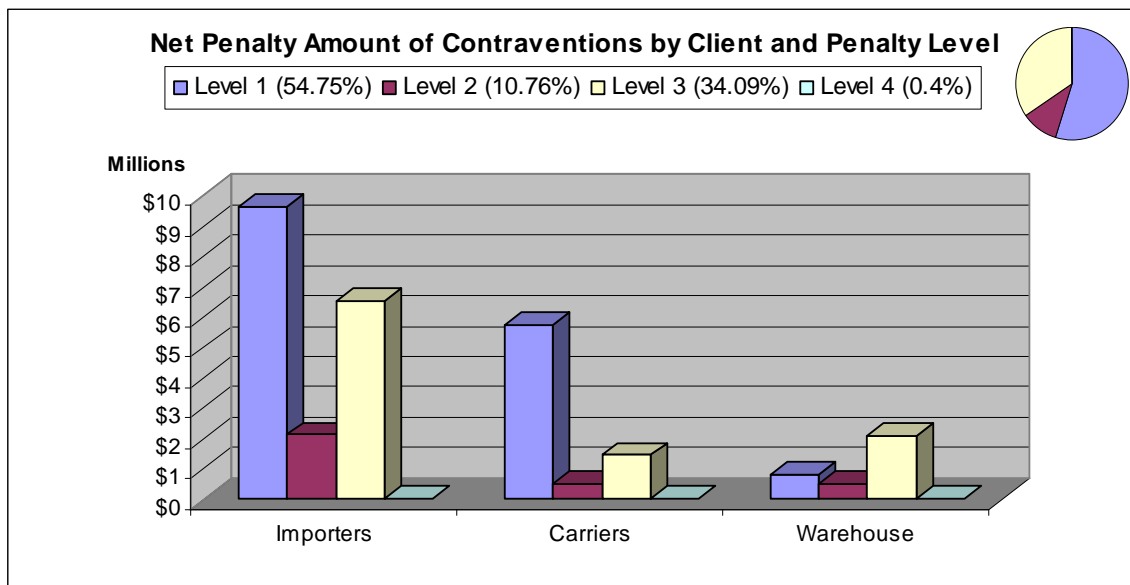


Chart 6. Net Penalty Amount of Contraventions by Client Type and Penalty Level

AMPS Top 10 Contraventions

Tables 4 to 9 relate to the top ten most issued AMPS contraventions from January 2007 to December 2009 for trade contraventions, border contraventions, importer/exporter contraventions, carrier contraventions and warehouse operator contraventions. The tables include net volume and net penalty amount for each contravention for each six-month report period in decreasing order by number of net contraventions issued using the total volume shown in the bottom two lines of the table. Contravention codes are listed across the top of the table and timeframes down the left side with respective net volume and net penalty amounts. For specific penalty structures for each contravention including a description, please consult the Master Penalty Document. Charts 7 to 18 correspond to the information contained in the tables and reflect the average volume or penalty amounts for each time period over the January 2007 to December 2009 timeframe.

AMPS Top 10 Contraventions

Table 4. Net Volume and Penalty Amount of Top 10 Contraventions between January 2007 and December 2009

Report Period		C353	C082	C336	C005	C084-C151
Jan - June 2007	vol	634	800	1174	962	777
	pen	\$130,992.32	\$75,900.00	\$339,200.00	\$127,300.00	\$18,408.71
July - Dec 2007	vol	134	903	1085	736	322
	pen	\$86,750.87	\$92,600.00	\$313,300.00	\$98,500.00	\$18,085.90
Jan - June 2008	vol	360	1143	1042	677	199
	pen	\$351,344.83	\$103,900.00	\$286,100.00	\$95,800.00	\$4,757.20
July - Dec 2008	vol	80	611	1183	713	288
	pen	\$37,660.60	\$63,600.00	\$347,100.00	\$96,200.00	\$53,452.79
Jan - June 2009	vol	686	1175	1003	608	278
	pen	\$117,673.43	\$103,100.00	\$289,100.00	\$76,700.00	\$10,390.86
July - Dec 2009	vol	7,115	2,604	1,064	556	373
	pen	\$181,322.63	\$100,400.00	\$263,500.00	\$81,400.00	\$18,618.40
Total 2007-2009	vol	9,009	7,236	6,551	4,252	2,237
	pen	\$905,744.68	\$539,500.00	\$1,838,300.00	\$575,900.00	\$123,713.86

Report Period		C021	C358	C352	C071	C315
Jan - June 2007	vol	320	305	149	276	614
	pen	\$320,000.00	\$853,962.47	\$142,830.77	\$58,300.00	\$1,740,000.00
July - Dec 2007	vol	188	402	342	255	80
	pen	\$183,000.00	\$1,217,183.60	\$284,278.75	\$53,100.00	\$111,000.00
Jan - June 2008	vol	556	256	129	204	208
	pen	\$296,000.00	\$722,575.57	\$221,739.76	\$39,000.00	\$514,000.00
July - Dec 2008	vol	178	186	205	175	28
	pen	\$178,000.00	\$481,041.36	\$181,663.44	\$32,900.00	\$29,000.00
Jan - June 2009	vol	250	168	272	124	39
	pen	\$219,000.00	\$371,551.82	\$268,472.10	\$27,100.00	\$45,000.00
July - Dec 2009	vol	227	236	404	174	41
	pen	\$227,000.00	\$418,258.79	\$268,683.60	\$26,500.00	\$52,000.00
Total 2007-2009	vol	1,719	1,553	1,501	1,208	1,010
	pen	\$1,423,000.00	\$4,064,573.61	\$1,367,668.42	\$236,900.00	\$2,491,000.00

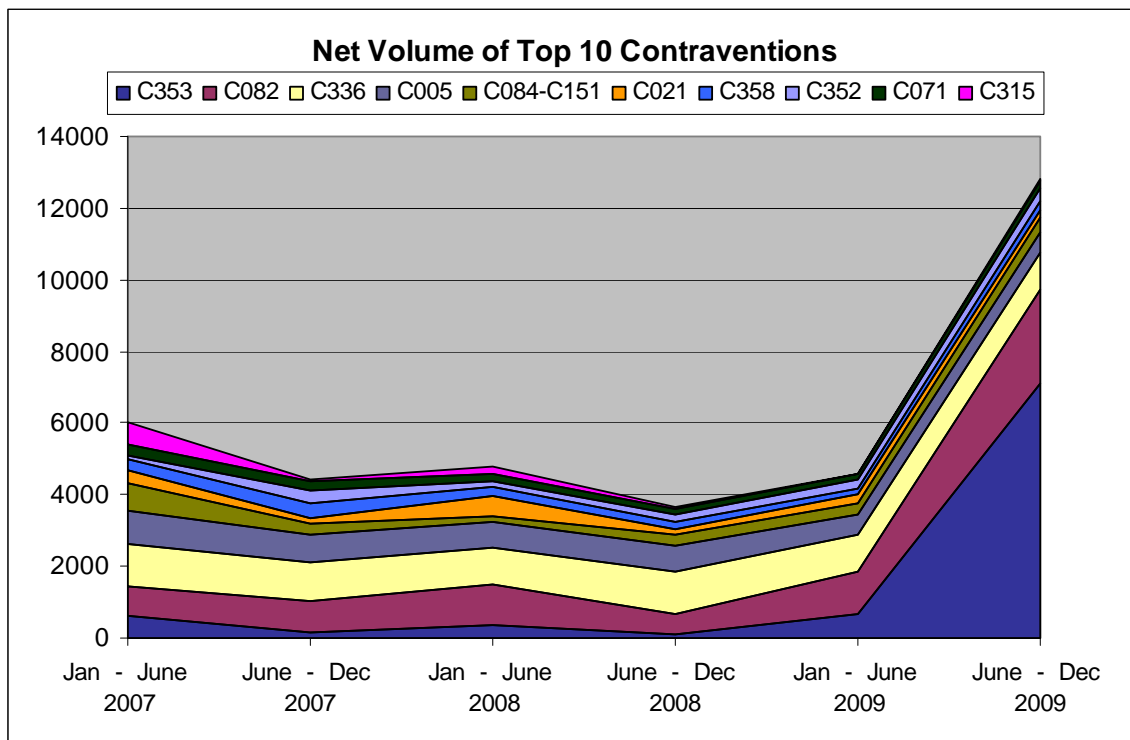


Chart 7. Net Volume of Top 10 Contraventions from January 2007 to December 2009

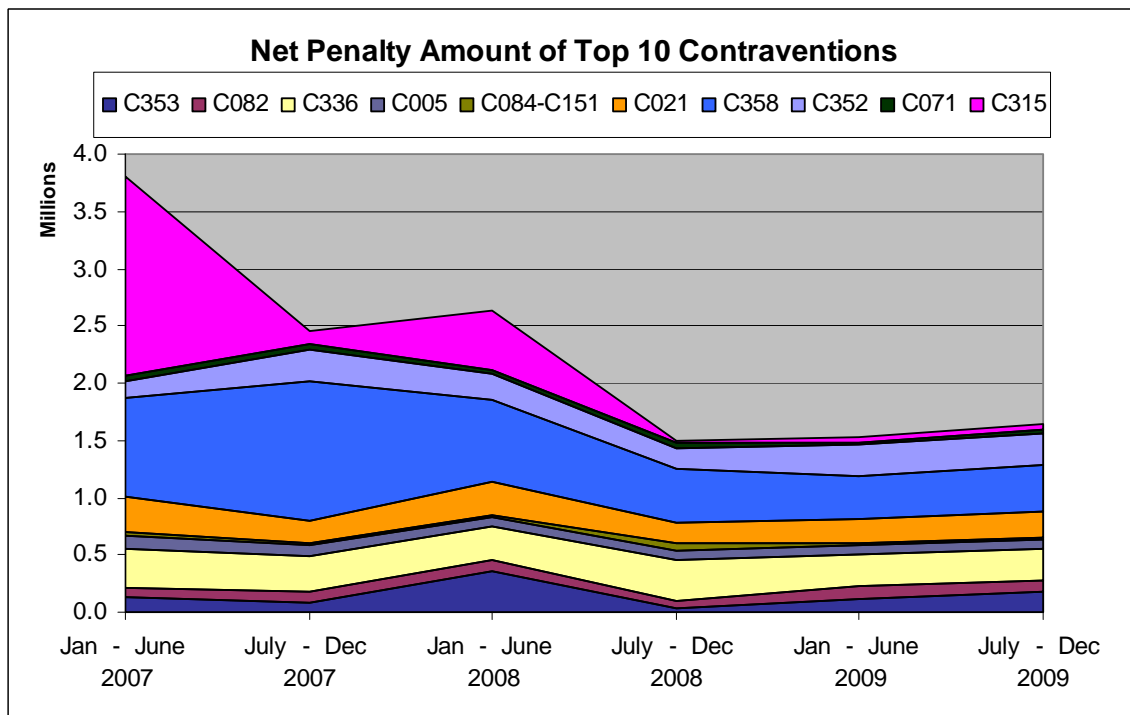


Chart 8. Net Penalty Amount of Top 10 Contraventions from January 2007 to December 2009.

AMPS Top 10 Trade Contraventions

Trade contraventions are AMPS penalties that are issued at post-release verification or during audits. These penalties are mostly issued to importers or owners failing to pay or repay duties as a result of required corrections or drawbacks.

Table 5. Net Volume and Penalty Amount of Top 10 Trade Contraventions between January 2007 and December 2009

Report Period		C353	C082	C352	C083	C070
Jan - June 2007	vol	634	800	149	66	119
	pen	\$130,992.32	\$75,900.00	\$142,830.77	\$6,600.00	\$17,100.00
July - Dec 2007	vol	134	903	342	98	121
	pen	\$86,750.87	\$92,600.00	\$284,278.75	\$9,800.00	\$17,800.00
Jan - June 2008	vol	360	1143	129	111	100
	pen	\$351,344.83	\$103,900.00	\$221,739.76	\$11,100.00	\$10,000.00
July - Dec 2008	vol	80	611	205	150	72
	pen	\$37,660.60	\$63,600.00	\$181,663.44	\$15,000.00	\$11,600.00
Jan - June 2009	vol	686	1175	272	102	108
	pen	\$117,673.43	\$103,100.00	\$268,472.10	\$10,200.00	\$10,800.00
July - Dec 2009	vol	7,115	2,604	404	188	72
	pen	\$181,322.63	\$100,400.00	\$268,683.60	\$18,800.00	\$7,600.00
Total 2007-2009	vol	9,009	7,236	1,501	715	592
	pen	\$905,744.68	\$539,500.00	\$1,367,668.42	\$71,500.00	\$74,900.00

Report Period		C152	C350	C214	C256	C351
Jan - June 2007	vol	82	41	5	113	2
	pen	\$82,000.00	\$6,200.34	\$500.00	\$11,300.00	\$290.85
July - Dec 2007	vol	25	78	2	0	0
	pen	\$25,000.00	\$16,598.97	\$500.00	\$0.00	\$0.00
Jan - June 2008	vol	40	13	113	0	2
	pen	\$61,000.00	\$9,655.16	\$11,400.00	\$0.00	\$11,013.01
July - Dec 2008	vol	22	8	5	0	1
	pen	\$22,000.00	\$2,977.44	\$800.00	\$0.00	\$516.78
Jan - June 2009	vol	44	6	3	0	40
	pen	\$44,000.00	\$2,931.70	\$300.00	\$0.00	\$699.68
July - Dec 2009	vol	11	18	0	0	6
	pen	\$11,000.00	\$9,139.09	\$0.00	\$0.00	\$941.40
Total 2007-2009	vol	224	164	128	113	51
	pen	\$245,000.00	\$47,502.70	\$13,500.00	\$11,300.00	\$13,461.72

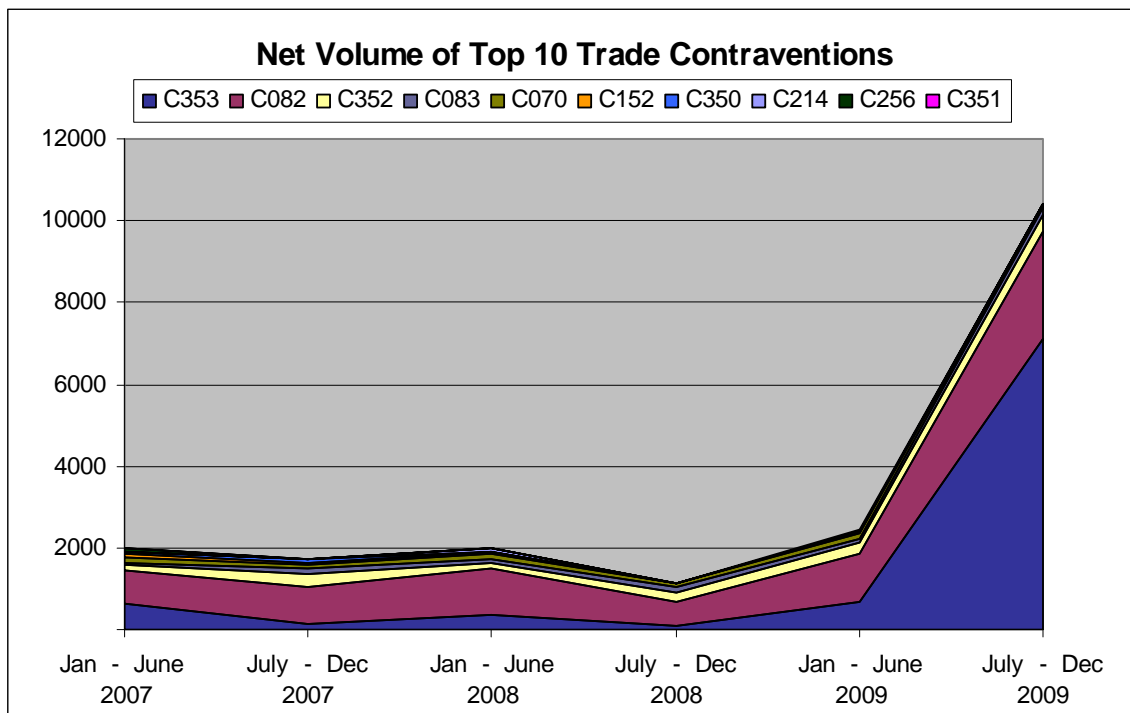


Chart 9. Net Volume of Top 10 Trade Contraventions from January 2007 to December 2009

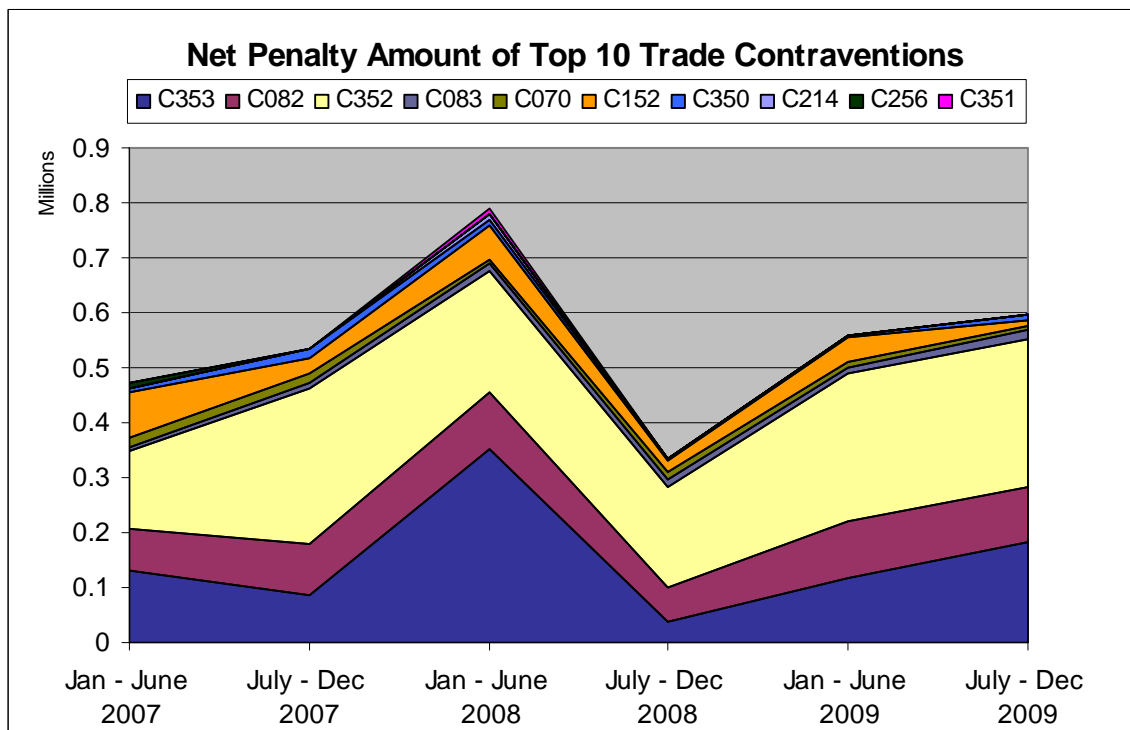


Chart 10. Net Penalty Amount of Top 10 Trade Contraventions from January 2007 to December 2009

AMPS Top 10 Border Contraventions

Border contraventions are AMPS penalties that are issued at the point of entry. These are mostly issued to carriers, warehouse operators, brokers and duty-free shop licensees, Customs Self Assessment (CSA) clients, exporters and in some cases, importers.

Table 6. Net Volume and Penalty Amount of Top 10 Border Contraventions between January 2007 and December 2009

Report Period		C336	C005	C084-C151	C021	C358
Jan - June 2007	vol	1,174	962	777	320	305
	pen	\$339,200.00	\$127,300.00	\$18,408.71	\$320,000.00	\$853,962.47
July - Dec 2007	vol	1,085	736	322	188	402
	pen	\$313,300.00	\$98,500.00	\$18,085.90	\$183,000.00	\$1,217,183.60
Jan - June 2008	vol	1,042	677	199	556	256
	pen	\$286,100.00	\$95,800.00	\$4,757.20	\$296,000.00	\$722,575.57
July - Dec 2008	vol	1,183	713	288	178	186
	pen	\$347,100.00	\$96,200.00	\$53,452.79	\$178,000.00	\$481,041.36
Jan - June 2009	vol	1,003	608	278	250	168
	pen	\$289,100.00	\$76,700.00	\$10,390.86	\$219,000.00	\$371,551.82
July - Dec 2009	vol	1,064	556	373	227	236
	pen	\$263,500.00	\$81,400.00	\$18,618.40	\$227,000.00	\$418,258.79
Total 2007-2009	vol	6,551	4,252	2,237	1,719	1,553
	pen	\$1,838,300.00	\$575,900.00	\$123,713.86	\$1,423,000.00	\$4,064,573.61

Report Period		C071	C315	C362	C018	C033
Jan - June 2007	vol	276	614	178	40	117
	pen	\$58,300.00	\$1,740,000.00	\$20,600.00	\$12,300.00	\$117,000.00
July - Dec 2007	vol	255	80	180	80	152
	pen	\$53,100.00	\$111,000.00	\$19,500.00	\$15,000.00	\$126,000.00
Jan - June 2008	vol	204	208	131	140	128
	pen	\$39,000.00	\$514,000.00	\$15,500.00	\$23,300.00	\$128,000.00
July - Dec 2008	vol	175	28	123	303	84
	pen	\$32,900.00	\$29,000.00	\$13,300.00	\$37,800.00	\$84,000.00
Jan - June 2009	vol	124	39	245	184	114
	pen	\$27,100.00	\$45,000.00	\$26,700.00	\$21,200.00	\$114,000.00
July - Dec 2009	vol	174	41	104	186	92
	pen	\$26,500.00	\$52,000.00	\$12,400.00	\$32,900.00	\$92,000.00
Total 2007-2009	vol	1,208	1,010	961	933	687
	pen	\$236,900.00	\$2,491,000.00	\$108,000.00	\$142,500.00	\$661,000.00

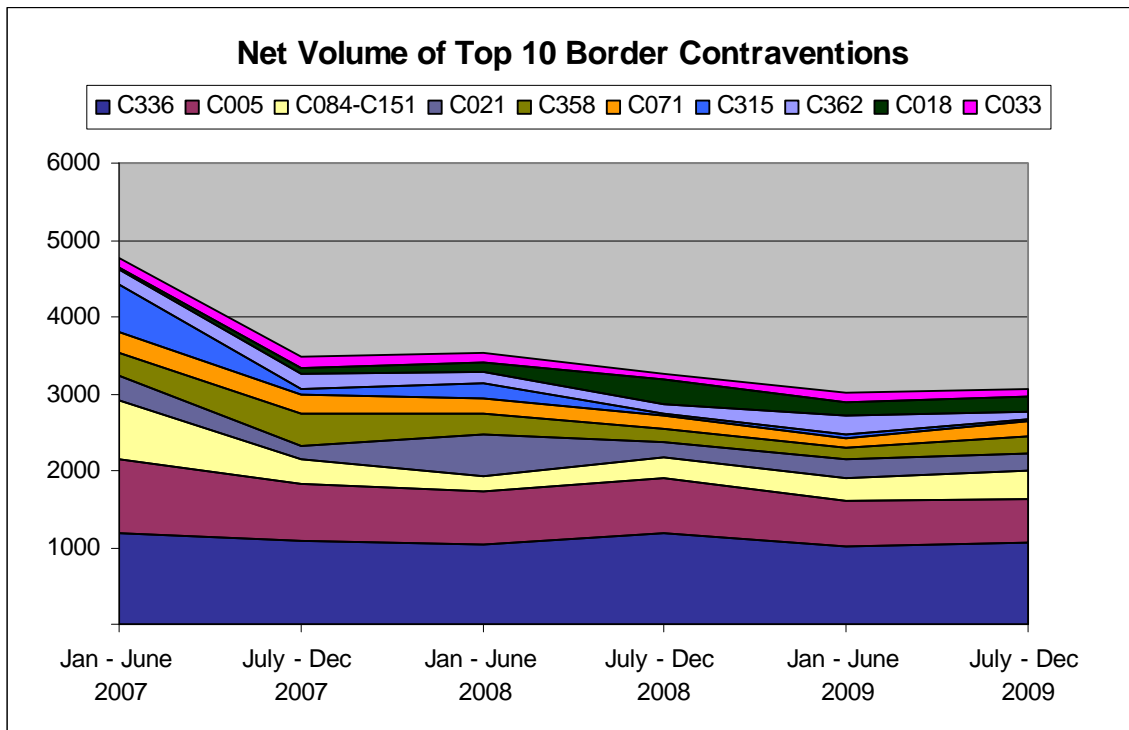


Chart 11. Net Volume of Top 10 Border Contraventions from January 2007 to December 2009

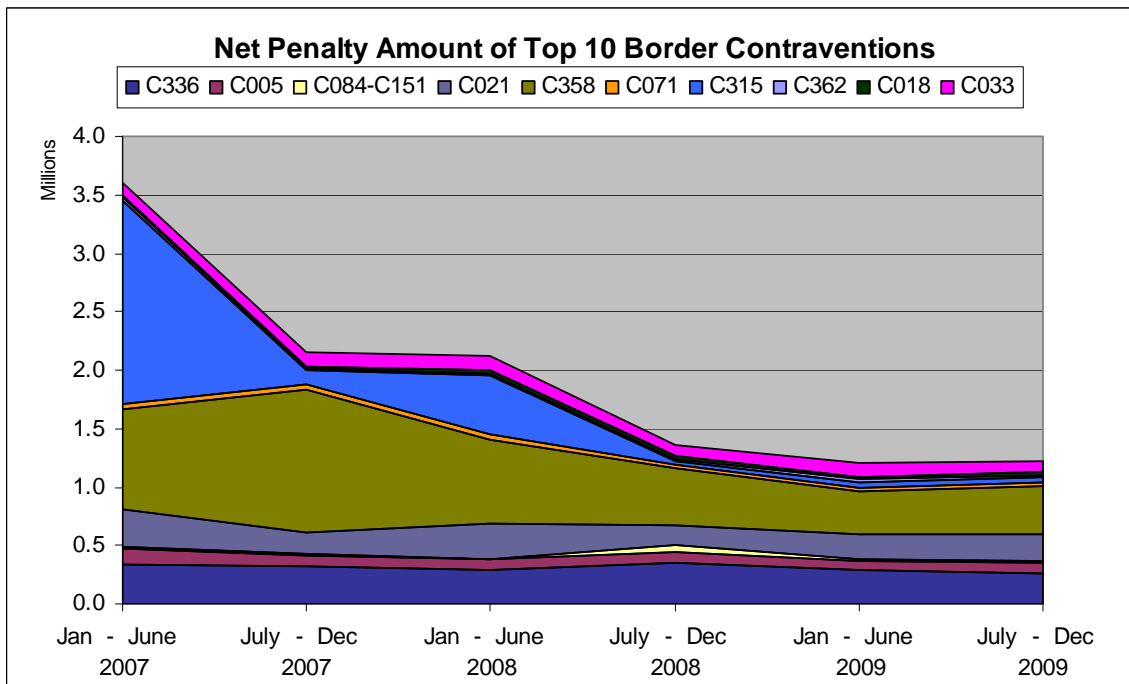


Chart 12. Net Penalty Amount of Top 10 Border Contraventions from January 2007 to December 2009

AMPS Top 10 Importer/Exporter Contraventions

An importer is defined as the person or entity that causes the goods to be imported and is responsible for accounting of the goods and paying applicable duties and taxes. In respect of goods that are exported, an exporter is defined as the holder of a business number for the purposes of the *Customs Act* who exports commercial goods or causes them to be exported. (Importer is also a holder of a business number.)

Table 7. Net Volume and Penalty Amount of Top 10 Importer/Exporter Contraventions between January 2007 and December 2009

Report Period		C353	C082	C336	C005	C084-C151
Jan - June 2007	vol	634	800	1173	828	777
	pen	\$130,992.32	\$75,900.00	\$339,100.00	\$100,800.00	\$18,408.71
July - Dec 2007	vol	134	903	1081	643	322
	pen	\$86,750.87	\$92,600.00	\$312,900.00	\$77,400.00	\$18,085.90
Jan - June 2008	vol	360	1143	1039	529	199
	pen	\$351,344.83	\$103,900.00	\$285,800.00	\$70,400.00	\$4,757.20
July - Dec 2008	vol	80	611	1180	611	288
	pen	\$37,660.60	\$63,600.00	\$346,800.00	\$80,700.00	\$53,452.79
Jan - June 2009	vol	686	1175	1001	532	278
	pen	\$117,673.43	\$103,100.00	\$288,900.00	\$65,600.00	\$10,390.86
July - Dec 2009	vol	7,115	2,604	1,064	447	373
	pen	\$181,322.63	\$100,400.00	\$263,500.00	\$58,800.00	\$18,618.40
Total 2007-2009	vol	9,009	7,236	6,538	3,590	2,237
	pen	\$905,744.68	\$539,500.00	\$1,837,000.00	\$453,700.00	\$123,713.86

Report Period		C352	C071	C315	C362	C083
Jan - June 2007	vol	149	274	614	178	66
	pen	\$142,830.77	\$57,300.00	\$1,740,000.00	\$20,600.00	\$6,600.00
July - Dec 2007	vol	342	255	80	180	98
	pen	\$284,278.75	\$53,100.00	\$111,000.00	\$19,500.00	\$9,800.00
Jan - June 2008	vol	129	203	208	131	111
	pen	\$221,739.76	\$38,900.00	\$514,000.00	\$15,500.00	\$11,100.00
July - Dec 2008	vol	205	175	28	123	150
	pen	\$181,663.44	\$32,900.00	\$29,000.00	\$13,300.00	\$15,000.00
Jan - June 2009	vol	272	124	38	245	102
	pen	\$268,472.10	\$27,100.00	\$44,000.00	\$26,700.00	\$10,200.00
July - Dec 2009	vol	404	171	41	104	188
	pen	\$268,683.60	\$26,200.00	\$52,000.00	\$12,400.00	\$18,800.00
Total 2007-2009	vol	1,501	1,202	1,009	961	715
	pen	\$1,367,668.42	\$235,500.00	\$2,490,000.00	\$108,000.00	\$71,500.00

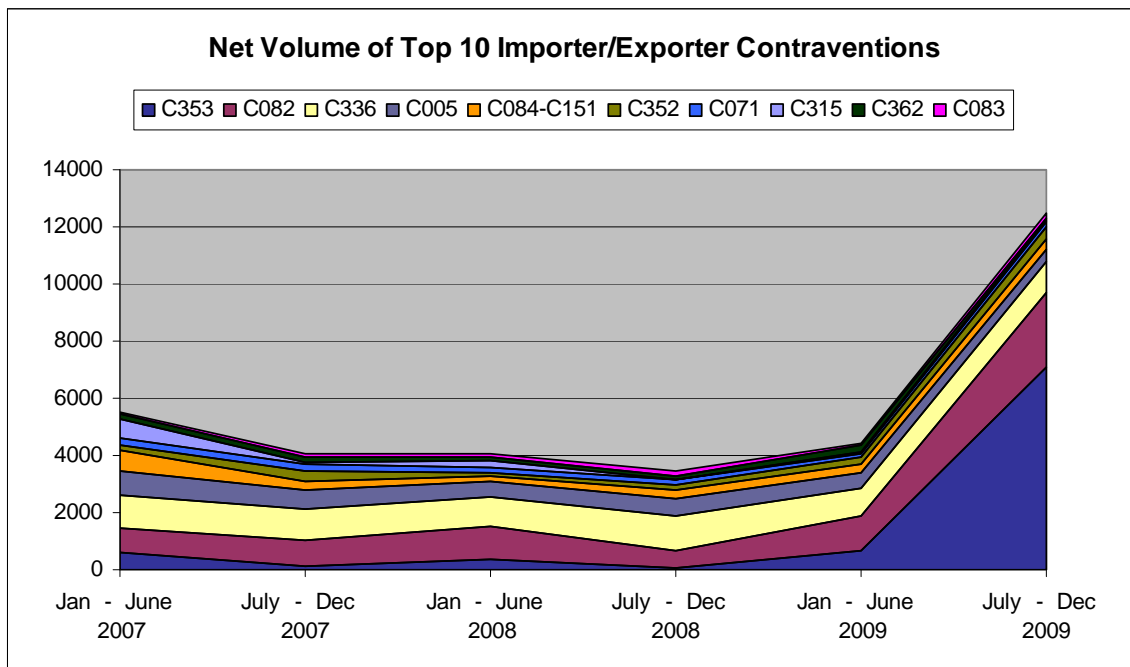


Chart 13. Net Volume of Top 10 Importer/Exporter Contraventions from January 2007 to December 2009

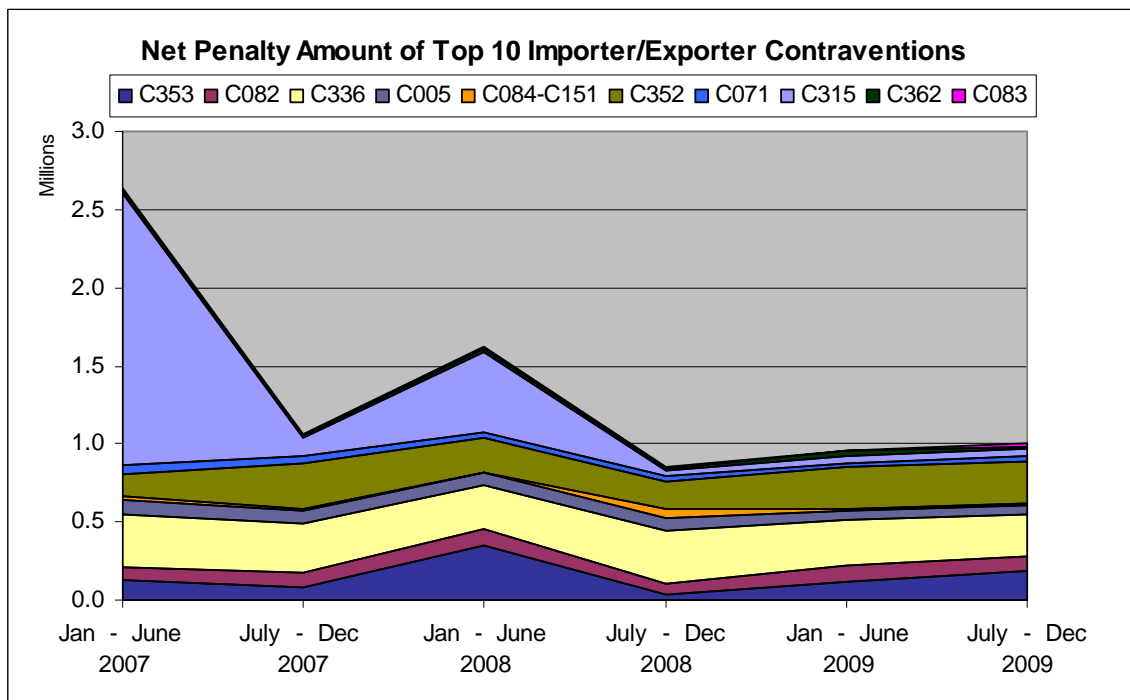


Chart 14. Net Penalty Amount of Top 10 Importer/Exporter Contraventions from January 2007 to December 2009

AMPS Top 10 Carrier Contraventions

A [carrier](#) is defined as an individual, partnership, or corporation engaged in the business of transporting goods or persons.

Table 8. Net Volume and Penalty Amount of Top 10 Carrier Contraventions between January 2007 and December 2009

Report Period		C021	C018	C005	C033	C358
Jan - June 2007	vol	316	25	132	108	159
	pen	\$316,000.00	\$9,000.00	\$26,300.00	\$108,000.00	\$485,800.36
July - Dec 2007	vol	187	63	92	146	129
	pen	\$182,000.00	\$13,300.00	\$21,000.00	\$120,000.00	\$265,317.71
Jan - June 2008	vol	554	136	143	121	117
	pen	\$294,000.00	\$21,300.00	\$24,900.00	\$121,000.00	\$289,384.09
July - Dec 2008	vol	175	297	95	72	74
	pen	\$175,000.00	\$36,800.00	\$13,700.00	\$72,000.00	\$220,039.19
Jan - June 2009	vol	247	36	75	110	64
	pen	\$216,000.00	\$6,000.00	\$11,000.00	\$110,000.00	\$137,017.95
July - Dec 2009	vol	224	92	109	87	64
	pen	\$224,000.00	\$20,700.00	\$22,600.00	\$87,000.00	\$127,417.22
Total 2007-2009	vol	1,703	649	646	644	607
	pen	\$1,407,000.00	\$107,100.00	\$119,500.00	\$618,000.00	\$1,524,976.52

Report Period		C354	C343	C070	C022	C008
Jan - June 2007	vol	52	96	33	53	74
	pen	\$156,000.00	\$96,000.00	\$3,300.00	\$5,300.00	\$79,000.00
July - Dec 2007	vol	88	107	35	33	41
	pen	\$264,000.00	\$107,000.00	\$3,500.00	\$3,300.00	\$49,000.00
Jan - June 2008	vol	95	104	32	40	26
	pen	\$285,000.00	\$104,000.00	\$3,200.00	\$4,000.00	\$26,000.00
July - Dec 2008	vol	87	79	40	38	34
	pen	\$261,000.00	\$79,000.00	\$4,000.00	\$3,800.00	\$34,000.00
Jan - June 2009	vol	65	17	49	48	24
	pen	\$195,000.00	\$17,000.00	\$4,900.00	\$4,800.00	\$27,000.00
July - Dec 2009	vol	100	0	65	25	36
	pen	\$300,000.00	\$0.00	\$6,500.00	\$2,500.00	\$37,000.00
Total 2007-2009	vol	487	403	254	237	235
	pen	\$1,461,000.00	\$403,000.00	\$25,400.00	\$23,700.00	\$252,000.00

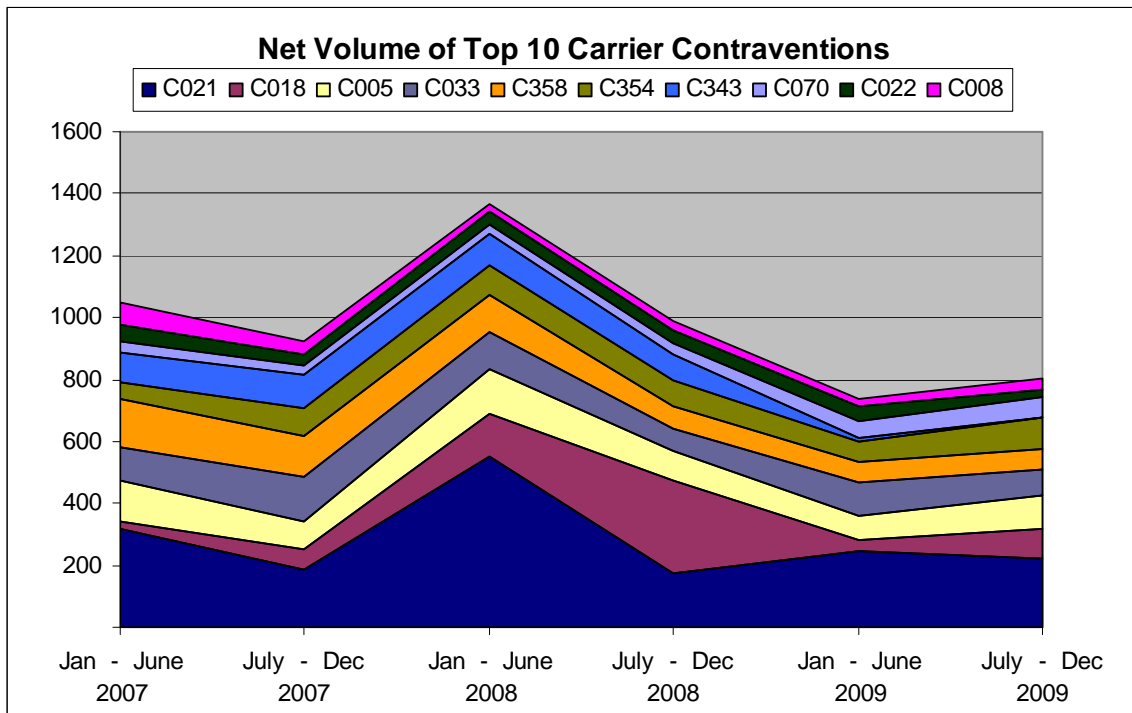


Chart 15. Net Volume of Top 10 Carrier Contraventions from January 2007 to December 2009

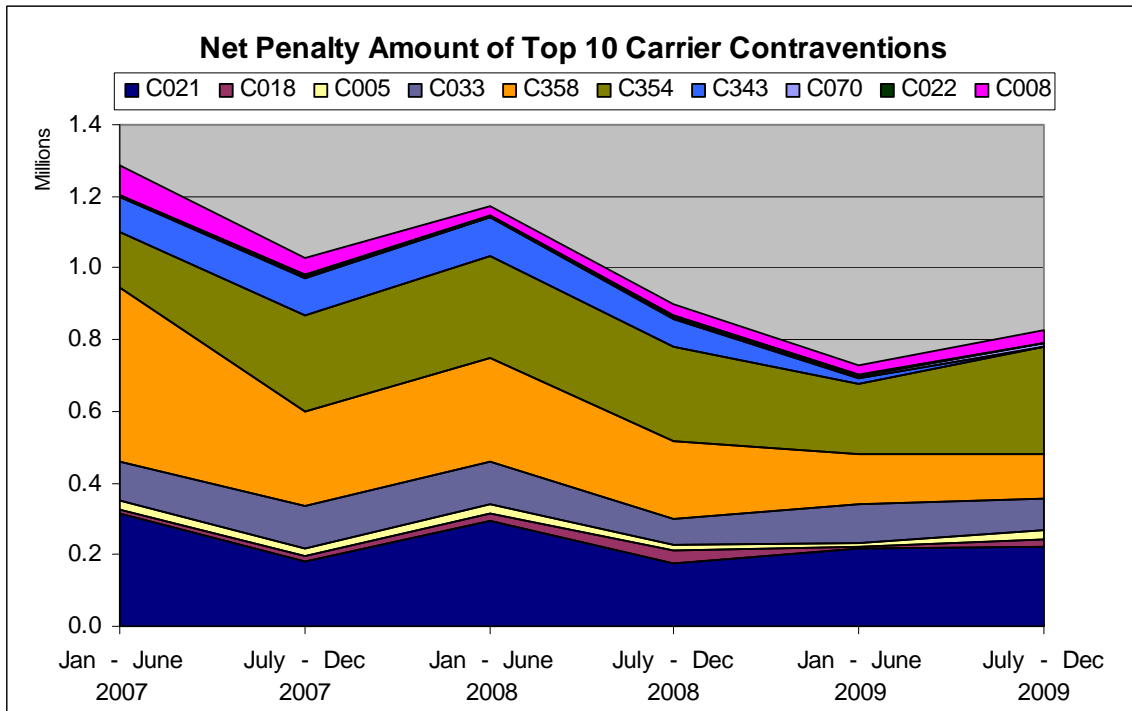


Chart 16. Net Penalty Amount of Top 10 Carrier Contraventions from January 2007 to December 2009

AMPS Top 10 Warehouse Operator Contraventions

Warehouse contraventions are mostly applicable to carriers, exporters, duty-free shop licensees, sufferance warehouse licensees and bonded warehouse licensees.

Table 9. Net Volume and Penalty Amount of Top 10 Warehouse Contraventions between January 2007 and December 2009

Report Period		C358	C345	C048	C063	C049
Jan - June 2007	vol	140	0	12	12	10
	pen	\$355,982.39	\$0.00	\$6,500.00	\$6,000.00	\$5,000.00
July - Dec 2007	vol	269	0	27	56	11
	pen	\$941,498.93	\$0.00	\$48,000.00	\$29,500.00	\$6,500.00
Jan - June 2008	vol	137	578	34	23	12
	pen	\$431,042.57	\$6,000.00	\$61,000.00	\$25,500.00	\$6,500.00
July - Dec 2008	vol	102	0	18	19	7
	pen	\$248,966.64	\$0.00	\$24,500.00	\$32,500.00	\$4,500.00
Jan - June 2009	vol	102	0	12	7	9
	pen	\$232,533.87	\$0.00	\$11,000.00	\$8,500.00	\$5,000.00
July - Dec 2009	vol	167	0	21	4	6
	pen	\$284,366.70	\$0.00	\$11,000.00	\$2,000.00	\$7,500.00
Total 2007-2009	vol	917	578	124	121	55
	pen	\$2,494,391.10	\$6,000.00	\$162,000.00	\$104,000.00	\$35,000.00

Report Period		C367	C066	C033	C347	C026
Jan - June 2007	vol	4	4	9	6	2
	pen	\$527.77	\$10,585.11	\$9,000.00	\$600.00	\$2,000.00
July - Dec 2007	vol	0	12	5	1	9
	pen	\$0.00	\$35,172.51	\$5,000.00	\$100.00	\$15,000.00
Jan - June 2008	vol	0	1	6	4	2
	pen	\$0.00	\$1,000.00	\$6,000.00	\$400.00	\$2,000.00
July - Dec 2008	vol	0	11	6	3	1
	pen	\$0.00	\$64,990.37	\$6,000.00	\$300.00	\$1,000.00
Jan - June 2009	vol	0	5	4	6	2
	pen	\$0.00	\$22,714.47	\$4,000.00	\$600.00	\$3,000.00
July - Dec 2009	vol	37	4	1	7	1
	pen	\$31,007.16	\$11,100.53	\$1,000.00	\$700.00	\$1,000.00
Total 2007-2009	vol	41	37	31	27	17
	pen	\$31,534.93	\$145,562.99	\$31,000.00	\$2,700.00	\$24,000.00

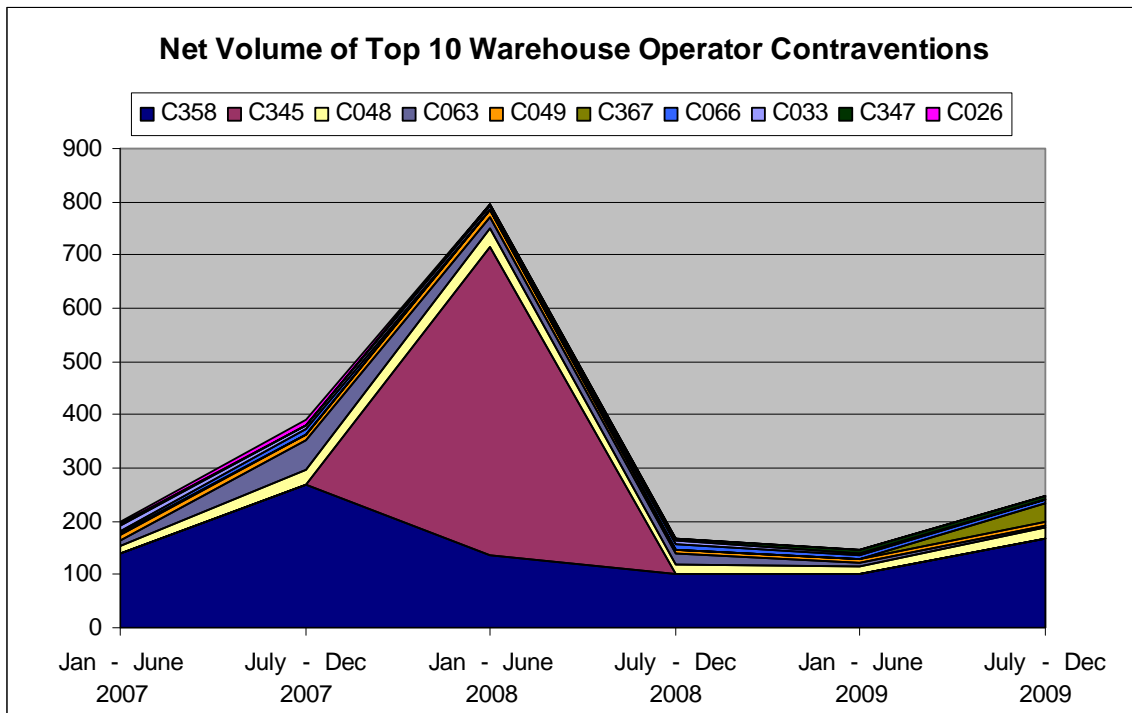


Chart 17. Net Volume of Top 10 Warehouse Operator Contraventions from January 2007 to December 2009

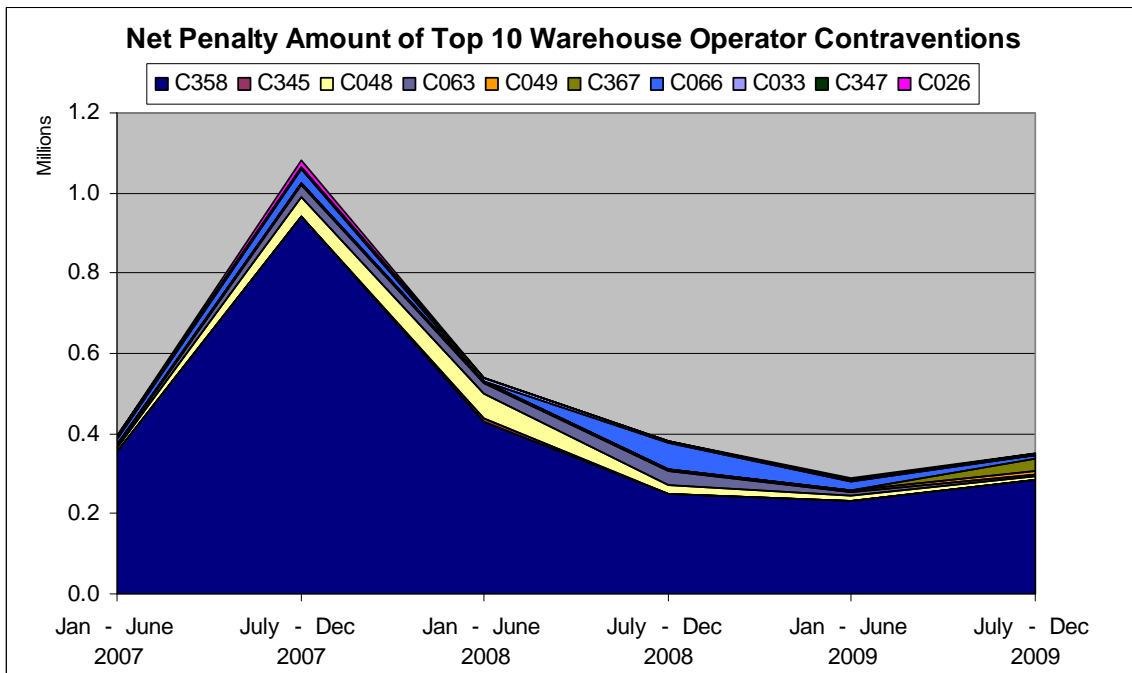


Chart 18. Net Penalty Amount of Top 10 Warehouse Operator Contraventions from January 2007 to December 2009

AMPS Appeals

Table 10 depicts the number of appeals filed, all contraventions issued (gross) and corresponding results from January 2007 through December 2009. Of all AMPS contraventions that were appealed, Recourse Directorate upheld 70%, while 30% were cancelled or administratively closed. Ten percent of all AMPS penalties issued were appealed while 3% were successful.

Note: Decisions taken by Recourse Directorate in a given year may also include appeals filed in previous years.

Table 10. AMPS Appeals from January 2007 to December 2009

Report Period	Contra-ventions Issued	Appeals Filed	Pen. Upheld		Pen. Cancelled			Admin Closure		Dec. Taken	
			#	% of Dec Taken	#	% of Dec Taken	% of AMPs Issued	#	% of Dec Taken	#	% of AMPs Issued
Jan - June 2007	9,250	1035	921	69	413	31	4	8	1	1342	15
July - Dec 2007	7,214	1009	533	71	203	27	3	14	2	751	10
Jan - June 2008	8,082	823	704	75	226	24	3	3	0	933	12
July - Dec 2008	6,231	748	467	67	212	31	3	10	1	693	11
Jan - June 2009	7,131	666	399	61	233	36	3	18	3	650	9
July - Dec 2009	15,069	762	737	72	271	27	2	13	1	1021	7
2007-2009	52,977	5,043	3,761	70	1,558	29	3	66	1	5,390	10